PTO/SB/21 (08-00) Approved for use through 10/31/2002. OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Application No. 09/924,283 RANSMITTAL FORM August 7, 200 RECEIVE Filing Date (to be used for all correspondence after initial filing) First Named Inventor John Friend <del>JUL 0 3 200</del>2 Group Art Unit 2152 Unassigned Technology Center 2100 **Examiner Name** Total Number of Pages in This Submission 17 Attorney Docket Number 5545P003 **ENCLOSURES** (check all that apply) After Allowance Communic Assignment Papers (for an Application) Fee Transmittal Form Appeal Communication to Board of Appeals and Interferences Fee Attached Drawing(s) Appeal Communication to Group Amendment / Response Licensing-related Papers (Appeal Notice, Brief, Reply Brief) After Final Petition **Proprietary Information** Affidavits/declaration(s) Petition to Convert a Provisional Application Status Letter Extension of Time Request Other Enclosure(s) Power of Attorney, Revocation Change of Correspondence Address (please identify below): Express Abandonment Request **Terminal Disclaimer** 10 Copies of Cited Art Information Disclosure Statement Return Postcard Certified Copy of Priority Document(s) Request for Refund Response to Missing Parts/ Incomplete Application CD, Number of CD(s) Remarks Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Thomas C. Webster, Reg. No. 46,154 Individual name BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP Signature

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Patent fees are subject to annual revision.

X Applicant claims small entity status. See 37 CFR 1.27.

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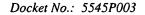
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Complete (if applicable) Registration No. Name (Print/Type) Thomas C. Webster 46,154 Telephone (408) 720-8300 (Attorney/Agent) Signature 06/25/02 Date

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

JOHN FRIEND, ET AL.

Application No.: 09/924,283

Filed: August 7, 2001

For: Apparatus and Method For Conserving

**Bandwidth By Batch Processing Data** 

Transactions

RECEIVED

Art Group: 2152

JUL 0 3 2002

Examiner: Unassi

Unassigned Technology Center 2100

## INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure, enclosed is a copy of Information Disclosure Statement by Applicant (form PTO/SB/08), which is being submitted before the mailing of a first Office Action. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s). Copies of the references cited on PTO/SB/08 are enclosed herewith.

The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material to patentability.

Please charge any fees due to Deposit Account 02-2666. A duplicate copy of the Fee Transmittal (PTO/SB/17) is enclosed for this purpose.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Date: June 25, 2002

Thomas C. Webster, Reg. No. 46,154

12400 Wilshire Blvd., 7th Floor Los Angeles, California 90025 (408) 720-8300

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INFORMATION DISCLOSURE
JUN 2 8 2002 STATEMENT BY APPLICANT
(use as many sheets as necessary)

of

Complete If Known

Application Number 09/924,283

Filing Date August 7, 2001

First Named Inventor John Friend

Group Art Unit 2152

Examiner Name Unassigned

Attorney Docket Number 5545P003

**U.S. PATENT DOCUMENTS** U.S. Patent Document Pages, Columns, Lines, Date of Publication of Examiner Cite Name of Patentee or Applicant Where Relevant Cited Document MM-DD-YYYY Kind Code<sup>2</sup> Initials\* Number Passages or Relevant No.1 of Cited Document (if known) Figures Appear 6,023,708 Mendez, et al. 02-08-2000 5,961,590 Mendez, et al. 10-05-1999 RECEIVED 5,559,800 09-24-1996 Mousseau, et al. 5,715,387 Barnstijn, et al. 02-03-1998 **JUL 0 3 200**2 5,802,312 Lazaridis, et al. 09-01-1998 6,396,482 Griffin, et al. **B**1 05-28-2002 **Technology Center 2100** 5,727,202 Kacala 03-10-1998 5,159,592 **Perkins** 10-27-1992 6,330,618 B1Hawkins, et al. 12-11-2001 5,790,974 Tognazzini 08-04-1998

FOREIGN PATENT DOCUMENTS										
Cite No.¹	For	eign Patent Docu	ment	Name of Patentee or Applicant of Cited Document	Date of Publication of	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	$\top$			
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